

09/18/01  
JC853 U.S. PTO

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jc997 U.S. PTO  
09/954764  
09/18/01

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 0925.009/11862US09

DIVISIONAL APPLICATION TRANSMITTAL  
UNDER 37 CFR § 1.53(b) AND 1.78(a)

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is a request under 37 CFR § 1.53(b) AND 1.78(a) for filing a divisional application, entitled "Methods for Treating an IgE-Mediated Disease In A Patient Using Anti-CD40 Monoclonal Antibodies".

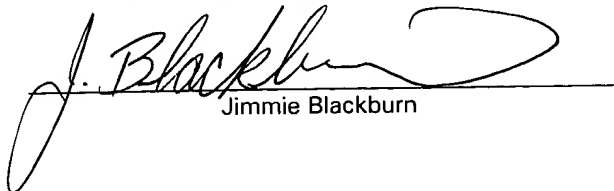
Enclosed is a copy of the originally filed parent application as identified below, including the specification, drawings and Oath or Declaration from said prior application and which will be assigned a new application number for identification purposes.

1. Particulars of Prior Application:

Application Serial No.: 09/504,505  
Batch No.: H84  
Date of Allowance: 06/18/01  
Filing Date: February 15, 2000  
Title: "Methods of Blocking B-Cell Activation  
Using Anti-CD40 Monoclonal Antibodies"  
Group Art Unit: 1644  
Examiner: P. Gambel  
Prior Docket No.: 0925.008/11862US08  
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CERTIFICATION UNDER 37 CFR § 1.110

I hereby certify that this Continuing Application Transmittal Under 37 CFR § 1.53(b) and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on September 18, 2001, in an envelope addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 202331 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service Under Mailing Label No. EL 849006685US.

  
Jimmie Blackburn

2. This request is filed by:

1. Full Name of Inventor	Family Name  de Boer	First Given Name  Mark	Second Given Name
Residence & Citizenship	City  Beverwijk	State or Foreign Country  The Netherlands	Country of Citizenship  Dutch
Post Office Address	Post Office Address  De Bronnen 25	City  Beverwijk	State & Zip Code/Country 1945 NG The Netherlands
2. Full Name of Inventor	Family Name  Conroy	First Given Name  Leah	Second Given Name  B.
Residence & Citizenship	City  Pacifica	State or Foreign Country  California	Country of Citizenship  USA
Post Office Address	Post Office Address  515 Beaumont Boulevard	City  Pacifica	State & Zip Code/Country  California

- ☐ This application is being filed by less than all the inventors named in the prior application. An accompanying statement requests deletion of the name(s) of the person(s) who are not inventors of the invention being claimed in this application.

3. Amendments and Additional Papers Enclosed

- ☒ Cancel claims 1-5, 7 and 12-28 in the prior application and add dependent claims 29 and 30 before calculating the filing fee.
- ☒ A Preliminary Amendment is enclosed.
- ☐ Computer readable copy of sequence listing containing nucleotide and/or amino acid sequence.
- ☒ Statement Under 37 CFR § 1.821.

- ☒ Statement Under 37 CFR § 1.821(e).
- ☐ Please enter the Amendment Under 37 CFR 1.116 previously filed in the prior nonprovisional application on \_\_\_\_\_, but unentered.
- ☐ The filing fee is based upon entry of the foregoing amendment(s) (if any).

**4. Priority**

- ☐ Priority of application is claimed under 35 USC 120 from the following applications:  
(1) Divisional of USSN 09/504,505, filed 02/15/00, now allowed which is a (2) continuation of USSN 08/463,893, filed 06/05/95, now U.S. Pat. 6,056,959, which is a (3) divisional of USSN 08/070,158, filed 05/28/93, now U.S. Pat. 5,677,165, which is a (4) continuation-in-part of USSN 07/910,222, filed 07/09/92, now U.S. Pat. 5,397,703.
- ☐ The certified copy(ies) was(were) filed in prior U.S. application Serial No. \_\_\_\_\_.
- ☐ The certified copy(ies) has(have) not been filed.

**5. Assignment**

- ☒ The prior application is assigned of record to "Chiron Corporation," 4560 Horton Street, Intellectual Property R-440, Emeryville, California 94608 and has been recorded at Reel No. 7329, Frame No. 194 and Reel 8209, Frame No. 0087.

**6. Small Entity Status**

- ☐ Verified statement(s) claiming small entity status is(are) attached.
- ☐ Small entity status has been established in the prior nonprovisional application and such status is still proper and desired.

**7. Power of Attorney**

- ☒ A copy of the originally filed Declaration and Power of Attorney or authorization of agent is enclosed.

**8. Information Disclosure Statement**

- ☐ PTO-1449 is enclosed.
- ☐ Copies of Information Disclosure Statement citations are enclosed.

9. Fee Calculation

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$		\$ 710.00
TOTAL	7-20	= 0	X 9 =	\$	X 18 =	\$0
INDEP.	3	= 0	X 40 =	\$	X 80 =	\$0
<input type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 135 =	\$	+ 270 =	\$ 710.00
Filing Fee:				\$	<b>OR</b>	\$ 710.00

10. Method of Payment of Fees

- ☐ Attached is a check in the amount of: \$ 710.00  
(Filing Fee)
- ☐ Attached is a check in the amount of: \$
- ☒ Charge Deposit Account No. 13-0017 in the amount of: \$  
A duplicate copy of this Transmittal is enclosed.

11. Deposit Account and Refund Authorization

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 to Deposit Account No. 13-0017. **A duplicate copy of this Transmittal is enclosed.**

Please refund any overpayment to McAndrews, Held & Malloy, Ltd. at the address below.

12. Please direct all future communications to:

LISA E. ALEXANDER, ESQ.  
CHIRON CORPORATION  
INTELLECTUAL PROPERTY - R440  
P.O. BOX 8097  
EMERYVILLE, CALIFORNIA 94662-8097

Respectfully submitted,

**McANDREWS, HELD & MALLOY, LTD.**

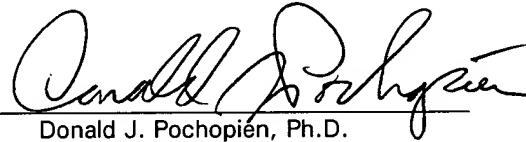
500 West Madison Street

34<sup>th</sup> Floor

Chicago, Illinois 60661

(312) 775-8133

By: \_\_\_\_\_



Donald J. Pochopiën, Ph.D.

Registration No. 32,167

Date: September 18, 2001

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